

## PMS Onboarding Process & Document Checklist – Non-Individual

Dear Investor,

Thank you for choosing **Green Lantern Capital LLP**. To initiate your Portfolio Management Services (PMS) account opening, please complete the application form and submit the documents listed below.

TAT for Residential Individual Onboarding – T + 8 working days

### Investment Strategy (Select One) in the excel checklist

☐ Growth Fund      ☐ Alpha Fund

### Mandatory Documents

Please provide clear, legible copies of the following:

1. **PAN Card** (Colour Copy) \*
2. **Address Proof** (Any One whichever applicable) \*
  - Bank Statement (not older than 2 months)
  - COI
  - GST Certificate
3. **Bank Proof** (Anyone) \*
  - Cancelled Cheque
  - Bank Statement (not older than 2 months)

If the bank account name differs from the PAN Name, a Bank Verification Letter is mandatory.

Please note the below documents will be required for Dependent Parties as well :

1. **PAN Card** (Colour Copy)\*
2. **Address Proof** (Any One)\*

Masked Aadhaar (downloaded from UIDAI portal) /Passport /Driving Licence /Voter ID

3. **GST Registration Certificate** (if applicable)

### Important Notes

- Declaration of current **Net Worth** is mandatory.
- Currently Electricity bill, telephone bill, and rent agreement are **not accepted** as address proof.

### Negative Security Declaration

Do you wish to restrict investments in any specific security?

☐ Yes (Details attached)    ☐ No

If "Yes", please provide:

- ISIN
- Security / Company Name

*If no details are provided, it will be deemed that no negative securities have been specified.*

## **Onboarding Process**

### **Physical Onboarding**

1. Account opening documents will be couriered to you upon the checklist received at our end.
2. Please review, sign, and return the documents to our registered office address.
3. Upon receipt of the duly signed documents, the Demat account opening process will be initiated. In case of discrepancies the same will be co-ordinated with the client.
4. A final sign-off confirmation with CML will be shared upon successful account setup.

### **Digital Onboarding**

#### **Stage 1 – Consent**

- Investor consent is obtained to fetch Entity KYC details from the KRA and acknowledge applicable fees and charges.

#### **Stage 2 - Verification & E-Sign**

- The Depository Participant (DP) verifies the KYC details available with the KRA.
- Upon successful verification, two e-sign requests are triggered to all ASL & Directors/Partners/Trustees:
  - KRA Form
  - Account Opening Form (AOF)

#### **Stage 3 – Demat Account Opening**

- Once both e-signs are completed and approved by all ASL & Directors/Partners/Trustees, the application moves to the Demat account opening stage.
- A final sign-off confirmation is shared upon successful completion. The account will be ready for funding.

For any assistance regarding documentation or onboarding, please contact us.

**Warm Regards,  
Green Lantern Capital LLP**